



Attorney Docket No: U010 (BPAY.0010007)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Robinson et al..

Serial No.: 10/743,189

Examiner: To Be Assigned

Confirmation No.: 7287

Art Unit: 2131

Filed: 12/23/2003

For: SYSTEM AND METHOD FOR ENROLLING IN A BIOMETRIC SYSTEM

Commissioner for Patents
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL


Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

- ☒ In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- ☐ In accordance with 37 C.F.R. §1.97(c), also enclosed is:
- ☐ the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
- ☐ a statement as specified in 37 C.F.R. §1.97(e).
- ☐ In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. §1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- ☐ Check No. ___ in the amount of \$___ for the total fee is attached.
- ☐ Authorization to charge a credit card for the total fee is attached.
- ☒ A return receipt postcard is also enclosed.

Respectfully submitted,

Dated: 6/24/05

By:


Duane S. Kobayashi
Reg. No. 41122

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**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(b)**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

- ☐ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- ☒ Pursuant to the U.S. Patent and Trademark Office's waiver of 37 C.F.R. §1.98(a)(2)(i) for applications filed after June 30, 2003, no copies of U.S. patents and patent applications cited in the attached Form PTO/SB/08 are being provided.
- ☒ No copies of publications B1 and C1-C6 listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. 10/251,305, filed September 20, 2002 to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ Publication(s) ___ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to ___ application serial no. ___ and mailed on ___.
- ☐ Enclosed is a copy of a non-English publication(s) ___. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).

- ☐ Enclosed is a copy of a non-English publication(s) ____ English language publication ____ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) ____ for which an English translation is not available.
- ☒ The Examiner's attention is directed to co-pending patent Application Serial No. 11/141,033, filed June 1, 2005, which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination of the present application.

This Information Disclosure Statement is filed within any one of the following time periods:

- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.


It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: 6/24/05

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Respectfully submitted,

By: _____


Duane S. Kobayashi
Reg. No. 41122

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 3

Complete if Known

Application Number	10/743,189
Filing Date	12/23/2003
First Named Inventor	ROBINSON
Group Art Unit	2131
Examiner Name	To Be Assigned
Attorney Docket Number	U010 (BPAY.0010007)

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY
		Number	Kind Code ² (if known)		
	A1	20050055582	A1	Bazakos et al.	03/2005
	A2	20050010478	A1	Gravelle	01/2005
	A3	20040254840	A1	Slemmer et al.	12/2004
	A4	20040201520	A1	Flick	10/2004
	A5	20040039632	A1	Han et al.	02/2004
	A6	20040024672	A1	Brack et al.	02/2004
	A7	20040012481	A1	Brusseaux	01/2004
	A8	20030150907	A1	Metcalf et al.	08/2003
	A9	20030076417	A1	Thomas et al.	04/2003
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	A11	20030067396	A1	Hassett	04/2003
	A12	20030046228	A1	Berney	03/2003
	A13	20020178063	A1	Gravelle et al.	11/2002
	A14	20020091537	A1	Algazi	07/2002
	A15	20010047223	A1	Metcalf et al.	11/2001
	A16	6,873,969	B2	Stone et al.	03/2005
	A17	6,854,642	B2	Metcalf et al.	02/2005
	A18	6,796,499	B1	Wang	09/2004
	A19	6,792,334	B2	Metcalf et al.	09/2004
	A20	6,715,674	B2	Schneider et al.	04/2004
	A21	6,715,673	B2	Fulcher et al.	04/2004
	A22	6,694,300	B1	Walker, et al.	02/2004
	A23	6,653,946	B1	Hassett	11/2003
	A24	6,650,999	B1	Brust et al.	11/2003
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	A26	6,559,776	B2	Katz	05/2003
	A27	6,498,970	B2	Colmenarez et al.	12/2002
	A28	6,405,125	B1	Ayed	06/2000
	A29	6,157,314		Loftus	12/2000
	A30	6,028,950		Merjianian	02-2000

FOREIGN PATENT DOCUMENTS

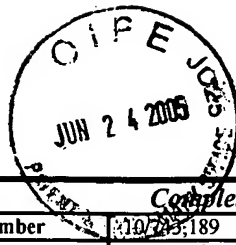
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY	T ⁶
		Office ³ Code ⁵	Number ⁴	Kind (if known)			
	B1	EP	0598469	A2	Dunlevy	05-1994	

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number.² See attached Kinds of U.S. Patent Documents.³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Please type a plus sign (+) inside this box →



PTO/SB/08A (08-00)

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				<i>Complete if Known</i>	
				Application Number	10/235,189
				Filing Date	12/23/2003
				First Named Inventor	ROBINSON
				Group Art Unit	2131
Examiner Name	To Be Assigned				
Sheet	3	of	3	Attorney Docket Number	U010 (BPAY.0010007)

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ⁹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ¹⁰
	C1	Video Insight. http://www.video-insight.com/dvr221.htm	
	C2	TMCnet. Cernium Installs Perceptrak Behavior Recognition Software In Public Parking Garage In Yonkers. http://www.tmcnet.com/usubmit/2003/Dec/1021669.htm . Dec. 2003	
	C3	City of Aspen. Parking. http://www.aspenpitkin.com/depts/61/payanddisplay.cfm	
	C4	SmartCity. SmartCity Card Solutions. http://www.coinamatic.com/coinamatic_group/html/apartment_laundry_services/smartcity/Products/p4.html	
	C5	TranCore. TransCore Access Control System First to Successfully Combine Biometrics and RFID. http://www.transcore.com/news/news050314.htm . Mar. 2005	
	C6	JAMES P. HOLMES et al. A Performance Evaluation of Biometric Identification Devices. Sandia Report. June 1991	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

⁹ Unique citation designation number.

¹⁰ Applicant is to place a check mark here if English language Translation attached